

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 6111.001-01
INTERNATIONAL APPLICATION NO. PCT/AU04/001389		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/N/A/5320
INTERNATIONAL FILING DATE October 12, 2004		PRIORITY DATE CLAIMED October 13, 2003
TITLE OF INVENTION Process for Recycling Waste Plastics		
APPLICANT(S) FOR DO/EO/US Lark et al.		

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☒ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

1AP20 Rec'd PCT/PTO 06 APR 2006

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known; see 37 CFR 1.5) 11/15/2005		INTERNATIONAL APPLICATION NO. PCT/AU 04/001389		ATTORNEY'S DOCKET NUMBER 6111.001-01	
20. Other items or information: 1) Postcard					
The following fees have been submitted				CALCULATIONS	PTO USE ONLY
21. <input type="checkbox"/> Basic national fee (37 CFR 1.492(a))..... \$300				\$ 300	
22. <input type="checkbox"/> Examination fee (37 CFR 1.492(c))					
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0				\$	
All other situations..... \$200				200	
23. <input type="checkbox"/> Search fee (37 CFR 1.492(b))					
If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0				\$	
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... \$100				400	
International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB..... \$400					
All other situations..... \$500					
TOTAL OF 21, 22 and 23 =				900	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
29 - 100 =	0/50 =	0	x \$250	\$ 0	
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	26 - 20 =	6	x \$ 50	\$ 300	
Independent claims	1 - 3 =	0	x \$200	\$ 0	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360	\$	
TOTAL OF ABOVE CALCULATIONS =				\$ 300	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.					
SUBTOTAL =				\$ 1,200	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				\$	
TOTAL NATIONAL FEE =				\$ 1,200.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$	
TOTAL FEES ENCLOSED =				\$	
				Amount to be refunded:	\$
				Amount to be charged	\$

10/575320

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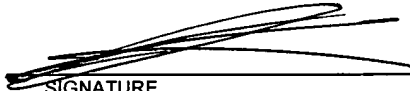
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- IAP20 Ret'd CARD 06 APR 2006
- a. ☐ A check in the amount of \$ _____ to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☐ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. _____. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

Thomas D. Foster
12760 High Bluff Drive
Suite 300
San Diego, CA 92130


SIGNATURE
Thomas D. Foster
NAME
44,686
REGISTRATION NUMBER



10/575320

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Intellectual Property Law
Biotech/Chemical

Electrical/Mechanical

Computer/Software

Business Methods

12760 High Bluff Drive, Suite 300

San Diego, CA 92130

ph: 858.922.2170 foster@tdfoster.com

Thomas D. Foster, Patent Attorney

Mail Stop Patent Application

"Express Mail" Mailing Label Number: EV 706444412 US

Date of Deposit: April 6, 2006

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Re: Utility Patent Application
Entitled: PROCESS FOR RECYCLING WASTE PLASTICS
Appl. No.: To be determined
Filed: Herewith
Inventors: Lark et al.
Our Ref.: 6111.001-01

Sir:

The following documents are forwarded herewith for appropriate action by the United States Patent and Trademark Office:

1. Transmittal Letter to the US Designated/Elected Office (in duplicate);

2. Complete Published PCT Patent Application entitled

PROCESS FOR RECYCLING WASTE PLASTICS

and naming as inventors

David J. Lark; and

William A. Howell

the utility application comprising:

- a) Total pages of application: [29]
- b) Pages of specification: [23];
- c) Pages of Title Page: [1];
- d) Pages of Abstract: [1];
- e) Pages of Drawings: [1];
- f) Pages of Claims: [3]; [29] Claims;

- 3. One Declaration;
- 4. One International Search Report;
- 5. One Preliminary Amendment; and
- 6. One Return Post Card.

It is respectfully requested that the attached postcard be stamped with the filing date and unofficial application number and returned as soon as possible.

The following attorney is the attorney of record for prosecuting this application and transacting all business in the USPTO connected therewith:

6111.001-01
October 6, 2005
Page 3

Thomas D. Foster, Esquire
Registration No. 44,686

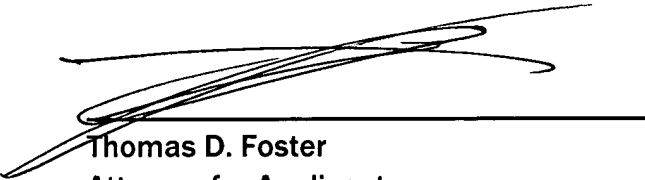
10/575320
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Please send all correspondence and direct all telephone calls to:

Thomas D. Foster
TDFoster
12760 High Bluff Drive
Suite 300
San Diego, California 92130
Phone: 858.922.2170
Fax: 858.259.6008

Respectfully Submitted,

TDFoster



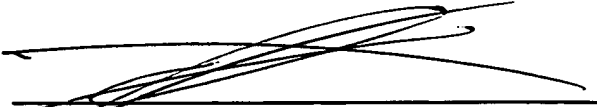
Thomas D. Foster
Attorney for Applicant
Registration No. 44,686

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EXPRESS MAIL MAILING LABEL NUMBER EV 706444412 US

DATE OF DEPOSIT: April 6, 2006

I hereby certify that this paper or fee is being deposited with the United States Postal Service Express Mail Post Office to Addressee service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: The Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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UTILITY
PATENT APPLICATION

On

PROCESS FOR RECYCLING WASTE PLASTICS

BY

David J. Lark and William A. Howell

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Thomas D Foster
(Typed or printed name of person mailing paper or fee)

[Signature]
(Signature of person mailing paper or fee)

TD Foster
12670 High Bluff Drive
Suite 300
San Diego, CA 92130